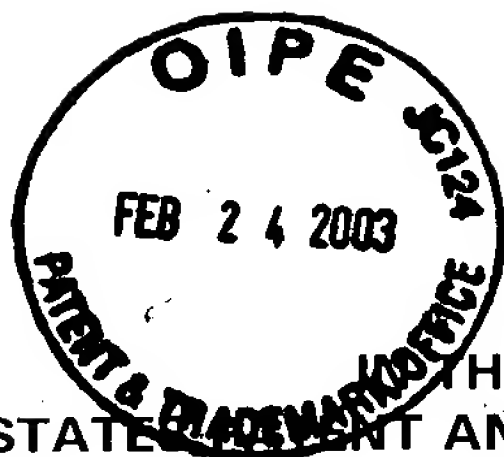


HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, Colorado 80527-2400



THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

ATTORNEY DOCKET NO. 10013111 -1

2153  
#3  
BT

02-4-03

Inventor(s): N. Lee Rhodes

Confirmation No.: 3660

Application No.: 09/919527

Examiner: Unknown

Filing Date: Jul 31, 2001

Group Art Unit: Unk.

Title: Network Usage Analysis System And Method For Updating Statistical Models

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COMMISSIONER FOR PATENTS

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:  
(X) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

(X) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- (X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231. Date of Deposit: 2-20-2003

OR

- ( ) I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number \_\_\_\_\_ on \_\_\_\_\_  
Number of pages: \_\_\_\_\_

Typed Name: Laura M. Clark

Signature: Laura M. Clark

Respectfully submitted,

N. Lee Rhodes

By

William J. Streeter  
William J. Streeter

Attorney/Agent for Applicant(s)

Reg. No. 24,447

Date: February 20, 2003

Telephone No.: (970) 898-3886



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STATEMENT UNDER 37 CFR 1.97(e)

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The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,  
N. Lee Rhodes

By   
William J. Streeter

Attorney/Agent for Applicant(s)  
Reg. No. 24,447

Date: *February 20, 2003*

Telephone No.: (970) 898-3886



## PATENT APPLICATION

Sheet 1 of 3

PTO-1449

ATTY. DOCKET NO.

10013111-1

APPLICATION NO.

09/919,527

CONFIRMATION NO.

3660

LIST OF PATENTS AND PUBLICATIONS FOR  
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STATEMENT

APPLICANT

N. Lee Rhodes

FILING DATE

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## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A				
	1B				
	1C				
	1D				
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	1H				
	1I				
	1J				
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	1O					
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## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	AMER P D ET AL: "Management Of Sampled Real-TimeNetwork Measurements" Proceedings Of The Conference Call On Local Computer Networks, XX, XX, 1989, pages 62-68, XP000618680 * page 62, right-hand column, line 1 - * page 64, right-hand column, last paragraph - page 65, left-hand column, paragraph 3 * "5 Windows n Network Behavior" * page 66, right-hand column
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DATE CONSIDERED



## PATENT APPLICATION

Sheet 2 of 3

FORM PTO-1449

ATTY. DOCKET NO.

10013111-1

APPLICATION NO.

09/919,527

CONFIRMATION NO.

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	1Q	Greenhalgh, C. et al.: "Predicting network traffic for collaborative virtual environments" COMPUTER NETWORKS AND ISDN SYSTEMS, NORTH HOLLAND PUBLISHING. AMSTERDAM, NL, vol. 30, no. 16-18, 30 September 1998 (1998-09-30), pages 1677-1685, XP004138700 ISSN: 0169-7552 *page 1678, left-hand column, paragraph 3 - right-hand column, paragraph 1 * *page 1679, right-hand column, paragraph 4.* *page 1682, right-hand column, paragraph 4.3.*
	1R	Mogul J. C.: "EFFICIENT USE OF WORKSTATIONS FOR PASSIVE MONITORING OF LOCAL AREA NETWORKS" COMPUTER COMMUNICATIONS REVIEW, ASSOCIATION FOR COMPUTING MACHINERY. NEW YORK, US, vol. 20, no. 4, 1 September 1990 (1990-09-01), pages 253-263, XP000168059 ISSN: 0146-4833 *page 257, right-hand column, line 1 - page 258, right-hand column, line 4 *
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## PATENT APPLICATION

Sheet 3 of 3

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APPLICATION NO.

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APPLICANT

N. Lee Rhodes

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	1S	
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